

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

AUG 29 2002

(as many sheets as necessary)

Page 1 of 1

Application Number	09/810916
Filing Date	March 16, 2001
First Named Inventor	Neavin, Terence D.
Art Unit	1732
Examiner Name	--
Attorney Case Number	51932US01/51

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number (if known)	Doc. Code (if known)			
ADV	A1	US- 6,368,899		4/09/02	Gilbert et al.	
ADV	A2	US- 01-0011779-A1		8/09/01	Stover	
ADV	A3	US- 01-0019182-A1		9/07/01	Hebrink et al.	
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number+DocCode (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

 *Examiner: *M. Vargot*

Date Considered: 1/7/04

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OMB No. 0651-0011

INFORMATION DISCLOSURE STATEMENT		Attorney Docket No.:		Serial No.:		
		51932USA2D		09/810,916		
APPLICANTS:						
Neavin et al						
Filing Date:		Group:				
3/16/01		1732				
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Dat if applicabl
HeV	0,540,768	6/11/1895	Western	—	—	
	2,803,552	8/20/57	Stedman	—	—	
	3,022,178	8/20/62	Park et al.	106	13	
	3,051,452	8/28/62	Nobel	259	4	
	3,075,228	1/29/63	Ellas	15	506	
	3,124,639	3/10/64	Kahn	88	65	
	3,182,965	5/11/65	Sluijters	259	4	
	3,195,865	7/20/65	Harder	259	4	
	3,212,509	10/19/65	Leigh	106	13	
	3,557,265	1/19/71	Chisholm et al	264	47	
	3,565,985	2/23/71	Schrenk et al	264	171	
	3,610,729	10/5/71	Rogers	350	157	
	3,687,589	8/29/72	Schrenk	425	109	
	3,711,176	1/16/73	Alfrey, Jr. et al.	350	1	
	3,759,547	9/18/73	Schrenk et al.	425	131	
	3,773,882	11/20/73	Schrenk	264	171	
	3,819,522	6/25/74	Zmota	252	89	
	3,825,645	7/23/74	Barthelomy et al.	264	177	
	3,860,036	1/14/75	Newman, Jr.	138	45	
	3,884,606	5/20/75	Schrenk	425	133.5	
	3,897,356	7/29/75	Pochuyko	252	91	
	4,061,462	12/6/77	Gianarelli et al.	425	464	
	4,144,011	3/13/79	Sponangle	—	—	
	4,249,011	2/3/81	Wendling	—	—	
HeV	4,446,305	5/1/84	Rogers et al.	528	348	

EXAMINER	DATE CONSIDERED
M. Vaught	1/9/04

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date if applicable
PLOV	4,478,909	10/23/84	Taniguchi et al.	428	331	
	4,520,189	5/28/85	Rogers et al.	528	331	
	4,521,588	6/4/85	Rogers et al.	528	363	
	4,525,413	6/25/85	Rogers et al.	428	212	
	4,540,623	9/10/85	Im et al.	428	220	
	4,720,426	1/19/88	Englert et al.	428	344	
	4,848,564	7/18/89	Scheller et al.	206	83.5	
	4,937,134	6/26/90	Schrenk et al.	428	213	
	5,094,788	3/10/92	Schrenk et al.	264	171	
	5,094,793	3/10/92	Schrenk et al.	264	171	
	5,103,337	4/7/92	Schrenk et al.	359	359	
	5,122,905	6/16/92	Wheatley et al.	359	586	
	5,122,905	6/16/92	Wheatley	359	586	
	5,126,880	6/30/92	Wheatley et al.	359	587	
	5,188,760	2/23/93	Hikmet et al.	252	299.01	
	5,211,878	5/18/93	Reiffenrath et al.	252	299.63	
	5,235,443	8/10/93	Bernik et al.	359	37	
	5,269,995	12/14/93	Ramanathan et al.	264	171	
	5,284,657	3/15/94	Meleady et al.	524	270	
	5,316,703	5/31/94	Schrenk	264	1.3	
	5,319,478	6/7/94	Fijnschilling et al.	359	53	
	5,350,659	11/1/94	Arends et al.	428	216	
	5,389,324	2/14/95	Lewis et al.	264	171	
	5,448,404	9/5/95	Schrenk et al.	359	584	
PLOV	5,486,935	1/23/96	Kalmansh	359	37	

EXAMINER <i>M. Vaught</i>	DATE CONSIDERED <i>1/9/04</i>
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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Dat If applicabl
AtoU	5,486,949	1/23/96	Schrenk et al.	359	498	
	5,540,978	6/30/96	Schrenk	428	212	
	5,568,316	10/22/96	Schrenk et al.	359	584	
	5,612,820	3/18/97	Schrenk et al.	359	498	
	5,629,855	5/13/97	Revol et al.	428	1	
	5,686,979	11/11/97	Weber et al.	349	96	
	5,699,188	12/16/97	Gilbert et al.	359	584	
	5,721,603	2/24/98	De Vaan et al.	349	194	
	5,744,534	4/28/98	Ishihara et al.	524	442	
	5,751,388	5/12/98	Larson	349	96	
AtoU	5,759,467	6/2/98	Carter et al.	264	173.12	
	5,767,935	6/16/98	Ueda et al.	349	112	
	5,770,306	6/23/98	Suzuki et al.	428	328	
	5,783,120	7/21/98	Ouderkirk et al.	264	134	
	5,793,456	8/11/98	Broer et al.	349	98	
	5,808,794	9/15/98	Weber et al.	359	487	
	5,825,542	10/20/98	Cobb, Jr. et al.	359	487	
	5,825,543	10/20/98	Ouderkirk et al.	359	494	
	5,828,488	10/27/98	Ouderkirk et al.	359	487	
	5,882,774	3/16/99	Jonza et al.	428	212	
AtoU	5,940,149	8/17/99	Vanderwerf	349	5	
	5,962,114	10/5/99	Jonza et al.	428	212	
	5,965,247	10/12/99	Jonza et al.	428	212	
	5,976,424	11/2/99	Weber et al.	264	1.6	
	6,111,697	8/29/00	Merrill et al.			

EXAMINER <i>M. V. Varg</i>	DATE CONSIDERED <i>1/9/04</i>
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	3/16/01	1732				
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Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Dat if applicabl
Atlv	6,113,811	9/5/00	Kausch et al.	252	585	
	6,157,490	12/5/00	Wheatley et al.			
	6,179,948	1/30/01	Merrill et al.			
	6,207,260	3/27/01	Wheatley et al.			
Atlv	RE, 34,605	5/10/94	Schrenk et al.	339	339	

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FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
WLV	0796716	9/24/97	EPO			No
↑	591055	9/30/93	EPO	B32B	7/02	No
	592284	9/30/93	EPO	B32B	7/02	No
	616885	9/28/94	EPO	B21B	27/32	Yes
	2435807	2/12/76	Germany	B29F	3/04	Yes
	Hei 6[1994]41,335	2/15/94	Japan			Yes
	WO 92/11996	7/23/92	PCT			No
	WO 95/17303	6/25/95	PCT	B32B	7/02	No
	WO 95/17691	6/25/95	PCT	G02B	5/30	No
	WO 95/17692	6/25/95	PCT	G02B	5/30	No
	WO 95/17699	6/25/95	PCT	G02F	1/1335	No
	WO 95/27919	4/6/95	PCT	G02B	27/28	No
	WO 96/18691	6/20/96	PCT			No
	WO 96/19347	6/27/96	PCT	B32B	7/02	No
	WO 97/01440	1/16/97	PCT	B32B	27/36	No
	WO 97/01774	1/16/97	PCT	G02B	1/10	No
WLV	WO 97/32226	9/4/97	PCT	G02B	5/30	No
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		3/16/01		1732		
FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Country	Class	Sub- Class	Translation Yes/No
MDV	WO 99/06203	2/11/99	PCT	B29C	47/06	No
MDV	WO 99/06248	7/22/99	PCT	B29C	47/70	No
MDV	WO 99/06262	7/22/99	PCT	B32B	27/00	No
MDV	WO 99/06812	7/22/99	PCT	G02B	5/30	No

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3/16/01		1732	
Examiner Initial	OTHER DOCUMENTS (including Authors, Title, Date, Pertinent Papers, etc.)		
JAU	, Kirk Othmer Encyclopedia of Chemical Technology, Vol. 8, Pp. 652-662 (4th Ed. 1993).		
JAU	Perry, Viscoelastic Properties of Polymers, John Wiley & Sons, New York, 1970, pp. 314-318		
JAU	Schronk et al., Nanolayer polymeric optical films, Tappi Journal, pp. 169-174, June, 1992		
JAU	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol. 287, 3/31/2000, pp. 2451-2456		

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